

Long term prognostic value of Nottingham histological grade and its components in early (pT1N0M0) breast carcinoma

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Aims: To determine the prognostic usefulness of the Nottingham histological grade (NHG) and its components in a series of 270 patients with stage pT1N0M0 breast cancer with a median follow up of 12.5 years.

Methods: Microscopic slides were re-examined and the degree of tubule formation, nuclear pleomorphism, and mitotic counts were assessed and scored according to the suggested guidelines. The association with cancer specific survival (CSS) was evaluated by univariate and multivariate analyses.

Results: Whereas tumour size, patient age, menopausal status, type of surgery, or adjuvant treatment were not related to prognosis, histological type ($p < 0.01$) and NHG ($p < 0.005$) were associated with CSS. When evaluating the components of NHG separately, survival was not related to the score for pleomorphism, but was significantly better in tumours with score 1 or 2 for tubule formation ($p < 0.007$) and in those with score 1 for mitotic counts ($p < 0.006$). The two components retained independent significance in multivariate analysis. When the proposed cut off points for mitotic counts were replaced by lower ones based on tertile values, the mitotic index became the strongest prognostic factor ($p = 0.0001$) and histological type was the only additional factor of independent prognostic significance.

Conclusions: These findings confirm the prognostic value of NHG in pT1N0M0 breast carcinoma, show that the evaluation of tubule formation and mitotic rate provides independent prognostic information, and suggest that the proposed cut off points for mitotic counts may be too high for this particular group of tumours.

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Despite a considerable body of evidence that the Bloom-Richardson grading system (BRG), which is based on the assessment of tubule formation, nuclear pleomorphism, and mitotic activity, provides important independent prognostic information in patients with breast cancer, this system has not been universally accepted, mainly because of its subjective nature and apparently poor reproducibility. A major improvement has been provided by Elston and Ellis,¹ who have clearly defined the criteria, particularly by applying numerical limits to the measurement of tubule formation and mitotic counts. Whereas the relative numbers of both hyperchromatic nuclei and mitotic figures were analysed in the original BRG, only clearly identifiable mitotic figures are evaluated in the new system. In addition, the size of the high power field, which may vary greatly from one microscope to another, is taken into account. This modification of the BRG, now generally known as the Nottingham histological grade (NHG), has gained widespread acceptance during the past decade.

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The prognostic value of the NHG, used either alone or, along with tumour size and nodal status, as a component of the Nottingham prognostic index, has been confirmed in many large studies of patients with breast carcinoma.²⁻¹⁰ Some of these studies were limited to node negative tumours.³⁻⁸ However, to the best of our knowledge, none of them has focused on patients with pathological stage 1 (pT1N0M0) tumours; that is, tumours measuring 2 cm or less, with uninvolved axillary lymph nodes and no distant metastasis. The aim of our

present study was to evaluate the long term prognostic value of NHG in this group of patients. In addition, we separately analysed the prognostic impact of each of the three components used in its assessment.

MATERIALS AND METHODS

Patients

Through a review of pathology reports and patient charts we retrospectively identified 339 women with pT1N0M0 breast carcinoma who underwent surgery between January 1983 and December 1987 at the Institute of Oncology, Ljubljana, and in whom follow up data were available. Of the initial set of patients, the following were excluded from further analysis: 18 patients with previous contralateral invasive breast carcinoma; two patients with previous malignancy at another site; three patients who received preoperative chemotherapy; 15 patients with multifocal or multicentric tumours; and 20 patients in whom the original histological slides and/or paraffin wax blocks were unavailable. Histological re-examination revealed seven tumours with largest microscopic diameter exceeding 2 cm, one carcinoma in situ, and 11 microinvasive carcinomas; these were also excluded from our study. Thus, the analyses reported herein are based on the remaining cohort of 270 patients.

The patients ranged in age from 20 to 84 years (median, 54). Of the 260 patients for whom menopausal status was known, 111 (42.7%) were premenopausal.

Abbreviations: BRG, Bloom-Richardson grading system; CI, confidence interval; CSS, cancer specific survival; HPF, high power fields; MI, mitotic index; NHG, Nottingham histological grade; RR, relative risk

Table 1 Distribution of histological grade and scores for each of its components

	1	2	3
Grade	104 (38.5%)	103 (38.1%)	63 (23.3%)
Tubular score	20 (7.4%)	69 (25.6%)	181 (67.0%)
Pleomorphism score	58 (21.5%)	167 (61.9%)	45 (16.7%)
Mitotic score	172 (63.7%)	36 (13.3%)	62 (23.0%)

All patients underwent radical surgery, which consisted of modified radical mastectomy with axillary dissection in 214 and conservative breast surgery with axillary dissection in 56. The number of removed lymph nodes ranged from 1 to 42 (median, 15). In 30 patients (11 with modified radical mastectomy and 19 with conservative breast surgery), surgery was followed by breast irradiation. Thirty eight patients received adjuvant systemic treatment: 34 were treated by chemotherapy, two by hormonal treatment, and two by both.

Pathology

All surgical specimens were received fresh and the tumours were immediately incised to obtain material for steroid receptor determination; at least one slice of tumour tissue was immediately fixed in 10% buffered formalin.

Tumour size and histological grade (originally determined according to the BRG by five pathologists for 218 tumours) were retrieved from pathology reports. One of the authors (SFG) re-examined the original haematoxylin and eosin slides and re-determined the histological grade according to the Nottingham scheme.¹ This grading method evaluates three parameters and assigns a score of 1 to 3 for each parameter as follows: tubule formation (> 75%, 1; 10–75%, 2; < 10%, 3), nuclear pleomorphism (none, 1; moderate, 2; pronounced, 3), and number of mitoses/10 high power fields (HPF), based on a HPF size of 0.274 mm² (< 10 mitoses, 1; 10–19 mitoses, 2; ≥ 20 mitoses, 3). The final NHG is based on the sum of the scores of the three parameters: 3, 4, or 5 = grade 1; 6 or 7 = grade 2; and 8 or 9 = grade 3). Because the HPF diameter of the microscope used in our study was 0.5 mm, the cut off points for mitotic score were adjusted to: < 7 mitoses, 1; 7–13 mitoses, 2; ≥ 14 mitoses, 3. In addition to analysis within the NHG, the mitotic index (MI) was analysed separately using the tertile values—that is, 2 and 8 mitoses/10 HPF—as cut off points.

Statistical analysis

Correlations between various clinicopathological features were assessed using the χ^2 test.

Survival curves for cancer specific survival (CSS) were constructed using the method of Kaplan and Meier and the differences between groups were assessed with the log rank test; when groups were ordered, the log rank test for trend was used. Cox's regression model was used to evaluate the predictive power of various factors in multivariate analysis. For calculating CSS, the interval between surgery and death caused by or accompanied by clinical evidence of breast cancer was used; patients dying from unrelated causes were censored at the time of their death as were those still alive at the time of their last follow up. The median follow up for censored cases was 12.5 years (range, 7 months to 16 years).

RESULTS

Histological type, grade, and MI

Most patients (n = 212; 78.5%) had invasive ductal carcinoma, followed by invasive lobular carcinoma (n = 30; 11.1%), and other special types of carcinoma (n = 28; 10.4%),

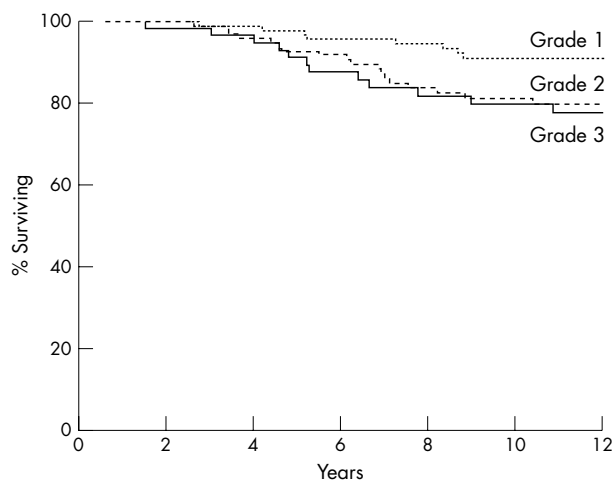


Figure 1 Cancer specific survival relative to Nottingham histological grade ($p = 0.0044$).

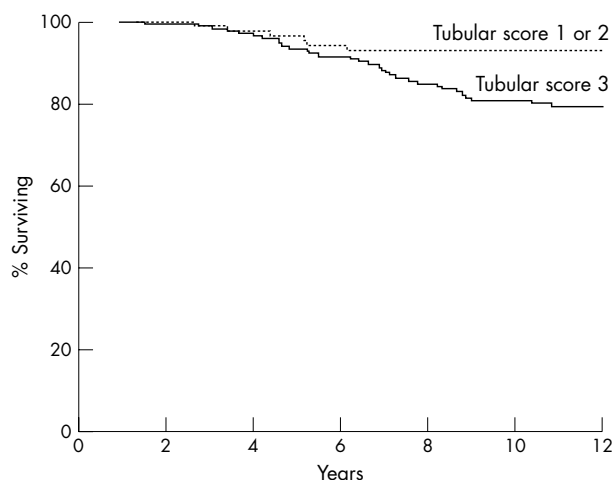


Figure 2 Cancer specific survival relative to scores for tubule formation ($p = 0.0065$).

of which there were nine mucinous, nine tubular, eight cribriform, and two medullary carcinomas.

Table 1 shows the distribution of grade and scores for the individual components. The distribution of individual scores was rather uneven: approximately two thirds of tumours were assigned score 3 for tubular formation, score 2 for pleomorphism, and score 1 for mitotic counts. Although there was no significant relation between NHG and menopausal status or age, NHG showed a strong relation to tumour type ($p = 0.0001$) and, to a lesser degree, size ($p = 0.02$).

Comparison of the NHG, which was determined on re-examination of the slides, and the BRG, which had been determined originally in 212 tumours, showed complete agreement in 133 cases (61%); in only four cases (1.8%) did the two grades differ by more than one category.

Mitotic counts, which were evaluated in the most mitotically active areas, ranged from 0 to 87/10 HPF and showed a highly skewed distribution, with a mean of 10.5 and median of 4.

Survival analysis

During the follow up period there were 46 cancer related deaths; the five year CSS, 10 year CSS, and 15 year CSS for the whole group were 94.4%, 84.4%, and 76.9%, respectively.

In univariate analysis, CSS was not related to patient age, menopausal status, type of surgery, or adjuvant treatment.

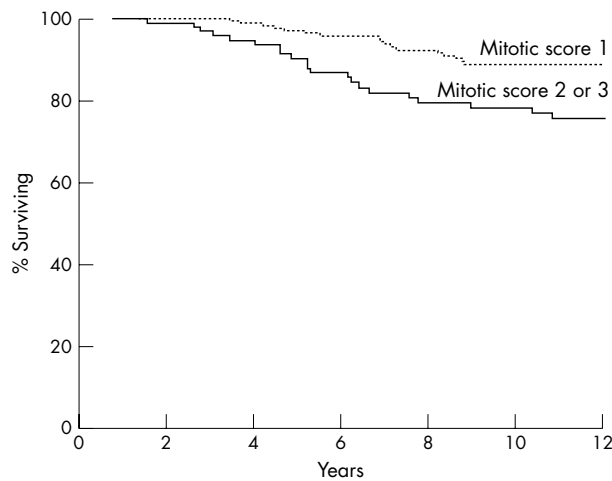


Figure 3 Cancer specific survival relative to scores for mitotic counts ($p = 0.0052$).

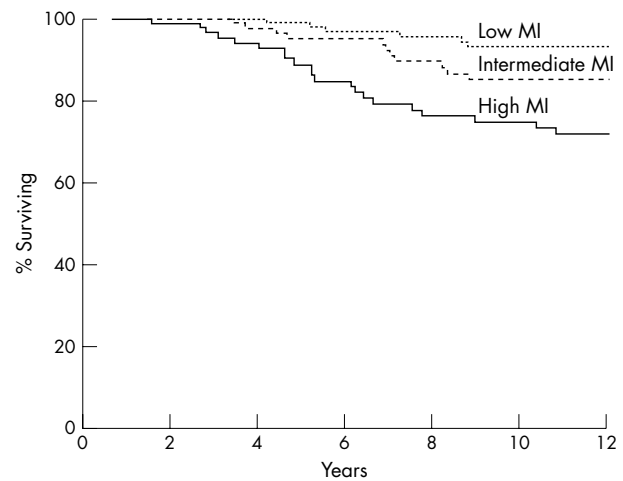


Figure 4 Cancer specific survival relative to mitotic index ($p = 0.0001$). MI, mitotic index.

Table 2 Relation of tubule formation and mitotic counts to cancer specific survival in multivariate analysis

Component of NHG	RR	95% CI	p Value
Tubule formation (score 3 v 1 or 2)	2.3	1 to 5.1	0.038
Mitotic counts (score 2 or 3 v 1)	1.8	1 to 3.3	0.046

CI, confidence interval; NHG, Nottingham histological grade; RR, relative risk.

Patients with tumours measuring ≤ 1 cm had a slightly better survival, but the difference was not significant ($p = 0.2$). Histological type was a strong predictor of outcome ($p = 0.0096$): there were no cancer related deaths among patients with favourable special types of carcinoma, whereas patients with invasive lobular carcinoma had the worst survival.

CSS was significantly related to NHG ($p = 0.0044$). As shown in fig 1, this was mainly the result of the better survival of patients with grade 1 tumours. When analysis of CSS was performed on the subgroup of tumours in which both the BRG and the NHG were determined, the prognostic strength of the NHG ($p = 0.0011$) was only slightly greater than that of the BRG ($p = 0.0057$).

Among the three components of the NHG, tubule formation and mitotic count were significant predictors of CSS, whereas pleomorphism was not. A significantly better CSS was seen in patients with tumours with a tubule formation score 1 or 2 as opposed to those with score 3 ($p = 0.0065$; fig 2) and in tumours with mitotic score 1 as opposed to those with score 2 or 3 ($p = 0.0052$; fig 3). When tubule formation and mitotic counts were entered into a Cox's multivariate model, they both retained independent prognostic significance (table 2). However, when histological type was also included in the model, the tubule formation score was no longer an independent predictor of survival.

Because almost two thirds of the tumours scored 1 point for mitotic counts, we performed additional analysis after dividing the tumours according to the tertile values into those with low MI (≤ 2 mitoses/10 HPF; $n = 100$), intermediate MI (3–8 mitoses/10 HPF; $n = 84$), and high MI (≥ 9 mitoses/10 HPF; $n = 86$). Defined in this way, MI was a better predictor of CSS than the NHG or any of its components ($p = 0.0001$; fig 4). When all variables were entered into a Cox's multivariate model, MI was found to be the strongest prognostic factor and histological type was the only additional factor that retained independent prognostic significance.

DISCUSSION

Although patients with pT1N0M0 breast carcinoma have an excellent short term prognosis, more than 20% will eventually develop distant metastases and die of the disease. As already pointed out by others,^{11, 12} and as also shown by our study, late breast carcinoma related deaths are not uncommon in this group of patients, and long term follow up is necessary for the evaluation of possible prognostic factors.

“Although patients with pT1N0M0 breast carcinoma have an excellent short term prognosis, more than 20% will eventually develop distant metastases and die of the disease”

Tumour size has long been regarded as the most important prognostic factor in patients with pT1N0M0 breast carcinoma; therefore, our finding that survival was not significantly better for tumours measuring 1 cm or less (pT1ab) as opposed to larger (pT1c) tumours is rather unexpected. This result may be partly because the proportion of smaller tumours in our series was relatively low. However, it should also be noted that a significant survival difference between pT1ab and pT1c tumours was confirmed in only five published studies^{13–16}; in the remaining five,^{12, 17–20} the difference failed to reach significance.

Whereas nuclear grade^{11, 21, 22} or histological grade determined according to the BRG^{2, 15} have been shown to be of prognostic value in patients with pT1N0M0 breast carcinoma, we are unaware of any previous studies evaluating the influence of the NHG on long term survival in this group of patients. Although complete agreement between the BRG and NHG was only 61% in our series, they were almost equally good in predicting patient survival. A similar finding was reported by other investigators who compared the two grading systems in large series of lymph node positive tumours⁷ and stage I–IIA tumours.⁴

The distribution of grade scores in our series differs significantly from that reported in the original Nottingham series of breast carcinomas, in which almost one half of the tumours were assigned grade 3.¹ Because a similar preponderance of grade 3 tumours is also observed in unselected symptomatic breast carcinomas seen at our institution, this probably reflects the selection criteria of our study.

When the three components of the NHG were examined separately, we found that only mitotic rate and tubule formation influenced survival. As shown by multivariate analysis, each one provided independent prognostic information; however, when histological type was also entered in the model, the tubule formation score was no longer significant.

Results of other studies that separately investigated the association between each component of the combined histological grade and prognosis are controversial. Whereas Davis *et al* found each one to be significantly correlated to outcome,²³ only tubule formation and mitotic count,^{24–25} or only pleomorphism and mitotic count,^{26–29} were of significance in some studies, and only pleomorphism^{30–31} or only mitotic count^{4–32} in others. Comparisons of these results are difficult for several reasons. The studies differed in the number of patients, their stage of disease, and the duration of follow up. With a single exception,⁴ they did not use the NHG but the original BRG or a modification thereof and, in most studies, grading was limited to invasive ductal carcinomas. Some of these studies were limited to node negative patients,^{24–27} but only one dealt exclusively with pT1N0M0 tumours,³¹ whereas one focused on the subgroup of pT1abN0M0 tumours.²⁸ Interestingly, in both of these, pleomorphism was a better predictor of outcome than mitotic counts, whereas the score for tubules was non-contributory.

The prognostic value of MI, but not of other components of histological grade, was also investigated by Joensuu *et al* in a group of 264 patients with pT1N0M0 tumours who were followed for a median of 17 years, and MI was shown to be a better prognostic variable for CSS than histological grade.¹²

Although nuclear pleomorphism has been shown to be a strong prognostic factor in some studies of breast carcinoma, this morphological feature is the most difficult to define and measure objectively and therefore its reproducibility is generally the poorest among grade components.^{33–34} In contrast, MI is, by definition, a quantifiable feature; however, its assessment is hampered by many sources of variation, such as variations in criteria for identifying an acceptable mitotic figure, variations in section thickness and microscopic field size, and intratumoral heterogeneity of mitotic activity. Despite these problems, it has been shown that mitosis counting can be performed in a highly reproducible way if a strict protocol is carefully followed.³⁵

Optimal tissue fixation and preservation are a prerequisite for accurate histological grading and, in particular, mitosis counting. It has been shown that a delay in fixation may substantially decrease the number of detectable mitoses.³⁶ Although this phenomenon was traditionally attributed to the completion of mitosis, inability to recognise mitotic figures in poorly preserved tissue seems a more plausible explanation.³⁷ Because at least one part of each tumour in our series was fixed immediately upon receipt from the operating theatre, the relatively low mitotic counts observed in our cases cannot be attributed to fixation delay.

In the few studies that provided data regarding the distribution of mitotic scores within the NHG and in which tumours of various sizes were included, the prevalence of scores was also very uneven and most tumours were assigned the lowest mitotic score.^{4–9–33} Because it has been shown that there is a significant correlation between tumour size and proliferative activity,³⁸ it is not surprising that in our series, which was limited to pT1N0M0 cases, almost two thirds of the tumours were assigned score 1 for mitotic counts. However, with such an unbalanced distribution, the statistical power for assessing the prognostic effect of mitotic counts may be greatly reduced.

Our findings suggest that the prognostic value of MI might be improved with the use of cut off points that are lower than those proposed for scoring mitotic counts within the NHG. Some published data also seem to support this notion. Thus, in the study of 825 pT12N01M0 breast carcinomas by Genestie *et al*,⁴ lowering of cut off points resulted in a stronger prognostic value of the MI. Similarly, Simpson *et al*,⁹ who recently studied 560 node positive patients, further divided the tumours in the lowest mitotic group (< 10 mitoses/10 HPF) into two categories. In their study, MI was found to be the most significant prognostic factor; its effect was mainly a result of the

Take home messages

- The Nottingham histological grade (NHG) is of prognostic value in pT1N0M0 breast carcinoma
- Among the components of the NHG, tubule formation and mitotic counts were independent predictors of survival, whereas pleomorphism was not
- The proposed cut off points for mitotic counts may be too high for this particular group of tumours

difference between the group with < 3 mitoses/10 HPF and those with \geq 3 mitoses/10 HPF.

In our study, MI was a stronger predictor of outcome than the NHG. However, this finding should be interpreted with caution. Whereas with regard to NHG our study was a confirmatory validation study, because NHG was determined according to previously proposed criteria, the prognostic value of MI was analysed on the same set of data from which the cut off points were derived. Although we did not use the much criticised “optimal cut off point” approach, which generally leads to a considerable overestimation of the effect of the prognostic factor,^{39–40} but rather chose the tertile values as cut off points, our results could still be too optimistic and should be validated in independent sets of patients. Nevertheless, the findings of our study suggest that in early stage breast carcinoma the prognostic value of MI may be concealed to some extent when using the NHG criteria for MI grouping.

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