Micrometastases in neuroblastoma: are they clinically important?
S A Burchill

Despite advances in the treatment of neuroblastoma (NBL), recurrence and metastases continue to pose major problems in clinical management. The relation between micrometastases and the development of secondary disease is not fully understood. However, accurate methods to detect low numbers of tumour cells may allow the evaluation of their role in the disease process, and by implication the possible benefits of eliminating them. Although there is substantial evidence for the increased sensitivity of current molecular methods for the detection of NBL cells compared with more conventional cytology, the clinical relevance and usefulness of detecting this disease remain controversial. The primary goal of current translational research must be to evaluate the clinical relevance of micrometastatic disease detected by these methods in multicentre prospective clinical outcome studies. Only then can the clinical usefulness of these methods be defined so that they may be introduced into relevant clinical practice.

Neuroblastoma (NBL) is the most common extracranial solid tumour of childhood, comprising between 8% and 10% of all childhood cancers. Its incidence is about 1/10 000 live births in the USA, occurring in children less than 10 years old, and usually in those between 1 and 5 years. The median age at diagnosis is 22 months. NBL has a broad spectrum of clinical behaviour, varying from aggressive malignant disease (stage 4) to spontaneous maturation and even regression (stage 4s) (table 1).

Most children over 1 year of age present with disseminated disease, identified in bone marrow (BM), distant lymph nodes, bone, liver, and/or other organs by imaging and/or histological examination using light microscopy (international neuroblastoma staging system stage 4; table 1; figs 1 and 2A). Metastasis to the BM is a hallmark of high risk in NBL, and predictive of poor prognosis for most children, although children with stage 4s disease may present with BM metastases but still have a good outcome. Children with stage 1 or 2 disease—that is, no BM metastases detected by conventional cytology—have a much better prognosis. The presence of NBL cells in the BM can be used to evaluate response to treatment, although for more than half of those children with stage 4 disease prognosis remains poor, even with the use of dose intensive chemotherapy regimens. Although the presence of NBL cells detected in the BM by cytology is one of the most powerful markers of poor prognosis in NBL, some children with apparently localised disease at diagnosis have rapidly progressing disease of which they subsequently die. This suggests that these children may have micrometastatic disease at diagnosis that is not detected by current routine methods; more sensitive methods for the detection of disseminated disease may improve stratification for treatment and potentially outcome (fig 1). In addition, such methods may be applied to monitor disease course and detect relapse before overt metastases occur, resulting in improved staging and the application of more intensive treatment regimens or earlier clinical intervention for some children. Because the stage of the disease is an important prognostic indicator at diagnosis, and treatment strategies are determined by staging, the accurate assessment of clinically relevant disseminated disease in children with NBL is essential.

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Currently, staging of disease in NBL typically depends on imaging (computed tomography or MIBG (1-123 metaiodobenzylguanidine) scan) and assessment of BM for infiltrating NBL by Romanovsky stained BM smears and BM trephines stained with haematoxylin and eosin or Giemsa (table 1; fig 2A). Cytological features of infiltrating BM include clumps of NBL cells, rosettes, syncytiotubules, and cytoplasmic/stromal characteristics. At least two posterior iliac crest BM aspirates and trephine (core) biopsies are examined at initial staging. These methods are informative for the assessment of disease in most children at diagnosis, because most present with overt metastatic disease. They are equally informative at the time of relapse when extensive disease is present. However for approximately

**Abbreviations:** BM, bone marrow; IC, immunocytochemistry; NBL, neuroblastoma; PB, peripheral blood; PBSC, peripheral blood stem cells; PCR, polymerase chain reaction; RT, reverse transcriptase; TH, tyrosine hydroxylase
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Table 1 The international neuroblastoma staging system

<table>
<thead>
<tr>
<th>Stage</th>
<th>Tumour characteristics</th>
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<tr>
<td>Stage 1</td>
<td>Localised tumour with complete gross excision, with or</td>
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<tr>
<td></td>
<td>without microscopic residual disease; representative</td>
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<tr>
<td></td>
<td>ipsilateral lymph nodes negative for tumour microscopically</td>
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<tr>
<td></td>
<td>(nodes attached to and removed with the primary tumour</td>
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<tr>
<td></td>
<td>may be positive)</td>
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<tr>
<td>Stage 2A</td>
<td>Localised tumour with incomplete gross excision:</td>
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<tr>
<td></td>
<td>representative ipsilateral non-adherent lymph nodes</td>
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<tr>
<td></td>
<td>negative for tumour microscopically</td>
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<tr>
<td>Stage 2B</td>
<td>Localised tumour with or without complete gross excision,</td>
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<tr>
<td></td>
<td>with ipsilateral non-adherent lymph nodes positive for</td>
</tr>
<tr>
<td></td>
<td>tumour. Enlarged contralateral lymph nodes must be</td>
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<tr>
<td></td>
<td>negative microscopically</td>
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<tr>
<td>Stage 3</td>
<td>Unresectable unilateral tumour, infiltrating across the</td>
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<tr>
<td></td>
<td>midline, with or without regional lymph node involvement;</td>
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<tr>
<td></td>
<td>or localised unilateral tumour with contralateral regional</td>
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<tr>
<td></td>
<td>lymph node involvement; midline tumour with bilateral</td>
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<td></td>
<td>extension by infiltration or lymph node involvement</td>
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<tr>
<td>Stage 4</td>
<td>Any primary tumour with dissemination to distant lymph</td>
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<tr>
<td></td>
<td>nodes, bone, bone marrow, liver, and/or other organs</td>
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<tr>
<td></td>
<td>(except as defined for stage 4s)</td>
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<tr>
<td>Stage 4s</td>
<td>Localised primary tumour (as defined for stage 2, 2A, or</td>
</tr>
<tr>
<td></td>
<td>2B), with dissemination limited to liver, skin, and/or bone</td>
</tr>
<tr>
<td></td>
<td>marrow (limited to infants &lt;1 year of age)</td>
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10% of children with stage 4 disease; those with limited stage disease (stages 1, 2, and 3), and the rare cases of stage 4s with no apparent BM involvement, these methods may be suboptimal. For these children the development of more sensitive methods for the detection of NBL cells and the evaluation of their clinical relevance is vital.

METHODS FOR DETECTING MICROMETASTATIC NBL

Nearly two decades ago, light microscopy coupled with immunocytochemical detection (IC) was shown to detect low numbers of tumour cells in BM from children with NBL. This technique has subsequently been used to detect NBL cells in peripheral blood stem cell harvest (PBSC) and peripheral blood (PB). This technique is dependent on the availability of antibodies to tumour associated cell surface antigens that are expressed on all target NBL cells, but not in the cells of the compartment to be studied—that is, normal haemopoietic cells. Because NBL is a tumour of neural origin, some groups have evaluated antibodies raised against human neural proteins; of these, UJ13A was reported to be the most useful. However, anti-GD2 antibodies appear to be the most specific and sensitive for the detection of NBL in BM. This is a robust approach to identify NBL when there are clumps of NBL cells within the BM (fig 2D). However, when there are less than 10 contaminating NBL cells on each slide, particularly if single or doublets of cells are stained, IC can be unreliable. Interpretation of PB is more difficult because NBL cells are often present as doublets or triplets—clumps comprising more than 10 cells do not pass into the peripheral vasculature. However, the technique is reported by some to detect a single NBL cell in 10⁴ normal cells. Although IC combined with light microscopy may reliably detect 10 or more NBL cells clumped in a BM smear, this approach has not been introduced into clinical practice, probably reflecting the ambiguity of analyses and because clumps of 10 or more NBL cells can be identified by cytology alone. Some studies have used antibodies to detect NBL cells using flow cytometry, although the sensitivity of this approach alone is low.

More recently, amplification of tissue specific mRNA by reverse transcriptase polymerase chain reaction (RT-PCR) has been used to detect minimal disease (fig 2E). This approach assumes that non-haemopoietic cells are not normally present in the compartment to be studied, and requires the identification of a target mRNA expressed in tumour cells but not normal haemopoietic cells. Because catecholamines are produced by NBL cells, the first enzyme in the catecholamine synthesis pathway, tyrosine hydroxylase (TH), has most frequently been used as a target for the detection of NBL by RT-PCR. Although other targets for the detection of NBL cells by RT-PCR have been evaluated, TH mRNA is the single most useful target. Using this methodology, a single NBL cell may be specifically detected in 1 x 10⁶ normal cells, with no reports of false positives. However, some studies have shown that multiplex PCR for a panel of target genes expressed by NBL may increase the sensitivity of tumour cell detection, overcoming tumour heterogeneity; although this is very target dependent, and in some cases may result in reduced specificity. Furthermore, the choice of target may depend on the compartment to be studied—that is, targets may be expressed in normal BM but not in normal PB. Reflecting these challenges, some studies have combined RT-PCR with flow cytometry or IC in an attempt to increase sensitivity and specificity.

Because catecholamines are produced by neuroblastoma cells, the first enzyme in the catecholamine synthesis pathway, tyrosine hydroxylase, has most frequently been used as a target for the detection of neuroblastoma by reverse transcriptase polymerase chain reaction.

Although RT-PCR may detect low numbers of NBL cells with an increased sensitivity and specificity compared with other methods, it does not measure absolute tumour cell numbers. This will be a limitation if a critical number of tumour cells in the BM or PB are prognostically important, and not purely the presence or absence of disease (see below). The development of semiquantitative methods, comparing amounts of TH mRNA with those of a
housekeeping gene, such as β2 microglobulin, has allowed potentially useful evaluation of changes in tumour cell burden within the PB of a child during the disease course (fig 3A). However, target mRNA expression must be interpreted carefully because it is influenced by many factors, such as suboptimal conditions for collection and storage of samples, inappropriate sample processing, low sensitivity RT-PCR techniques, and changes in the transcription of target genes. More recent studies have described real time PCR that can determine target gene transcript numbers in NBL clinical samples (fig 2D). Using this method it is possible to detect a single TH or GD2 transcript. Some groups have suggested that this technique may be used for the accurate assessment of tumour cell number within a sample, although variability in transcript number in each NBL cell will limit this. Further studies of transcript numbers in NBL and normal cells are required to define the parameters for unambiguous interpretation of real time RT-PCR to detect circulating tumour cells. However, real time PCR can be used to monitor changes in tumour cell burden throughout the disease course in the same way as more conventional PCR (fig 3C). Whether the increased sensitivity of real time PCR compared with more traditional PCR methods will be an advantage for the assessment of clinically relevant disease in children with NBL remains to be seen. The ability to detect absolute transcript numbers accurately is certainly valuable for the evaluation of optimal methods for collection, storage, and preparation of clinical samples (B Kågedal and SA Burchill, unpublished, 2003).

Additional methods to assess clinical samples for contaminating tumour cells are the tumour clonogenic assay and genetic profiling. Both these methods have the potential advantage that they not only detect circulating tumour cells that may go on to form metastases, but they also assess their potential neoplastic nature by evaluating the growth potential or the biological genotype or phenotype of the cells. Using an automatic immunofluorescence and fluorescence in situ hybridisation device it is possible to quantify disseminating tumour cells and characterise the genetic profile of these cells; this is a powerful method that can confirm the malignant identity of cells detected by IC and potentially can more accurately predict their prognostic impact by evaluating the genotype of the cell. Therefore, more extensive prognostic information may be achieved by combining sensitive methods for the detection of micrometastases with methods to characterise biological markers of viability, growth, invasion, and multidrug resistance. This approach might allow the discrimination of disseminating tumour cells with unfavourable biology that go on to form metastases from those that do not metastasise. For example, although most children with stage 4s disease have a good prognosis, despite the presence of BM
metastases, 10–25% have a poor prognosis. The identification of those children with disseminating tumour cells having an unfavourable biology and poor outcome should improve clinical management and potentially survival for this group.

“Additional methods to assess clinical samples for contaminating tumour cells are the tumour clonogenic assay and genetic profiling”

**Figure 3** Assessment of disease status by reverse transcriptase polymerase chain reaction (RT-PCR) in children with neuroblastoma (NBL). RT-PCR and RT real time PCR are the most sensitive and specific methods for the detection of micrometastases, although their clinical usefulness is unclear. Using PCR based methods it is not possible to measure absolute NBL cell numbers, but changes in disease can be monitored throughout the disease course by RT-PCR (A) or RT real time PCR (C). (A) Detection of tyrosine hydroxylase (TH) mRNA (NBL cells) in sequential blood samples taken from a child with stage 4 NBL. Blood samples were positive at diagnosis (1) and four weeks into treatment (2), but negative five (3) and seven (4) weeks after the start of treatment. Peripheral blood (PB) was positive for TH mRNA at 13 weeks (5) and when the child was clinically disease free at 12 months (6), but negative at 13 months (7). Before clinical relapse the PB was positive (8; 14 months), and remained positive (9; 17 months) until relapse 19 months after initial diagnosis (10). The child did not respond to further treatment and died of disease 22 months after initial diagnosis. RT+ve, RT present; RT-ve, RT absent (negative control); β2m, β2 microglobulin mRNA (housekeeping gene used to check quality of RNA); M, molecular weight markers; W, negative control; C1 and C2, positive controls. (B) The presence of TH mRNA in PB from children with high risk (stage 4) NBL greater than 1 year old at diagnosis is associated with an increased risk of death; p = 0.002 (95% confidence interval, 1.49 to 6.66), log rank test. (C) TH mRNA detected by RT real time PCR in PB from a child with stage 4 NBL taken 18–36 months after diagnosis. TH mRNA increased in PB at the time of relapse and dropped after further treatment. [A, B] Reproduced from Burchill et al.;25 (C) reproduced from Träger et al.29 CADO, vincristine-cyclophosphamide-doxorubicin; MIBG, I-125 metaiodobenzylguanidine.

**CLINICAL RELEVANCE OF NBL MICROMETASTASES**

Using sensitive methods for the detection of NBL it is now clear that micrometastases frequently occur in children with NBL.14 17 32 33 Although the detection of NBL cells in BM or PB is not sufficient for the development of overt metastatic lesions (fig 1), the presence of such cells is necessary for disease dissemination. The most important challenge is to determine whether the detection of disease using these sensitive methods provides a more reliable tool for the detection.
identification of children with high risk NBL than current routine methods.

AT DIAGNOSIS

The presence of NBL cells detected by IC \(^4\) \(^12\) \(^34\) or RT-PCR \(^23\) \(^35\) \(^36\) in BM taken at diagnosis identifies those children with an unfavourable prognosis by association with disease stage. The association with disease stage is good evidence that using these sensitive methods for the detection of disease produces data of clinical relevance. More interestingly, the frequency of NBL cell contamination, detected by RT-PCR, of BM taken at diagnosis from children with stage 4 disease is reported to be 95–100%, \(^5\) \(^16\) \(^35\) \(^37\) compared with a reported frequency of 90% by conventional cytology. \(^2\) Similarly, increased frequency of tumour cell detection by IC in BM from children with widespread disease (stage 4) has been described. \(^4\) These observations suggest that RT-PCR and IC are more sensitive methods than conventional cytology to detect NBL cells in BM; however, whether or not these tumour cells are prognostically relevant remains to be seen. The identification of BM micrometastases in children diagnosed with high risk stage 4 disease is currently unlikely to have an effect on outcome because these children are already high risk and treated accordingly; some may benefit from more intensive therapy, but for most novel therapeutic strategies are needed. In this group of children, these sensitive methods may be most useful to identify those for whom current treatments would not be useful.

Although there is limited information on the frequency of NBL detection in BM from children with stage 1, 2, 3, or 4s disease, NBL cells have been detected in BM from children with low stage disease analysed by RT-PCR (28% positive BM) \(^1\) or IC (34% positive BM). \(^4\) This is consistent with the hypothesis that these children have disseminated disease that is not detected by cytology. It is this group of children in whom it is most important to establish whether the detection of NBL cells by more sensitive techniques is clinically important, and whether they might benefit from upstaging and more aggressive treatment. Whether the detection of micrometastases by PCR correlates with other biological markers of poor outcome (for example, MYC-N amplification in children with stage 2 or 3 disease) remains to be seen; in children with high risk disease the detection of NBL cells by RT-PCR in BM is associated with other unfavourable biological features. \(^3\) \(^2\) RT-PCR of PB taken at diagnosis from children with NBL also identifies those with an unfavourable prognosis by association with stage; that is, tumour cells are frequently detected in PB from children with stage 4 disease, but rarely in those with stage 1, 2, or 3 disease. \(^2\) \(^16\) \(^18\) \(^21\) \(^31\) \(^36\) More importantly, the detection of circulating tumour cells in PB by RT-PCR in a small number of children diagnosed with stage 3 disease appears to correlate with poor outcome, \(^2\) \(^21\) again supporting the restaging for risk of some children with stage 3 disease. Further studies are essential in this group of children.

In children with advanced stage disease (in this case, stage 4 over 1 year of age) the detection of NBL micrometastases in PB is predictive of rapidly progressing disease (fig 3B). \(^1\) \(^2\) However, as is the case for low level disease detected in BM (see above), there remains a need for new treatment modalities before the treatment of children with advanced disease guided by molecular detection results in improved outcome and survival benefit for many. The frequency of NBL detection in PB taken at diagnosis is considerably less than that in BM from the same population taken at diagnosis; using RT-PCR in PB, approximately 50% of blood samples from children with high stage disease are positive, compared with 95–100% of BM samples (SA Burchill, personal observation, 1999). \(^2\) \(^21\) Similarly, a two log difference in detection of disease between PB and BM is reported by IC. \(^1\) The absence of NBL cells in PB from children with high risk disease has been reported by some as “false” negatives, although this over simplifies the situation. The “false” negatives will reflect biological features such as intermittent tumour cell shedding, dilution of tumour cells in PB, and technical factors such as frequency and volume of samples analysed. The different distribution of NBL cells in PB compared with BM suggests that circulating NBL cells do not necessarily “seed” in the BM, and NBL cells in the BM do not inevitably disseminate into the PB. The high frequency of positive BM analysed using PCR based methods reflects the detection of overt metastases, in addition to NBL cells that may be micrometastases or disseminating through the BM but not forming metastases. In contrast, the detection of NBL cells in PB will reflect only disseminating disease, which may or may not develop clinically relevant micrometastases or overt metastases. The presence of NBL cells in these two compartments might therefore have altered prognostic power for different stages of disease. An accurate assessment of the clinical value of RT-PCR and IC in these two compartments is essential to determine their place in clinical practice, and to understand the metastatic disease process in NBL.

MONITORING DISEASE: DURING, AT THE END OF TREATMENT, AND ON FOLLOW UP

Currently, for most children diagnosed with NBL (those with high risk disease), detection of micrometastases will probably have its biggest impact as a tool to monitor disease course and response to treatment. The most informative studies evaluating the power of micrometastatic disease detection throughout the clinical course have been made using RT-PCR. The analysis of BM \(^2\) \(^5\) \(^15\) or PB \(^2\) \(^5\) \(^15\) \(^17\) \(^19\) \(^21\) \(^31\) \(^37\) \(^39\) samples taken sequentially from children suggests that RT-PCR may be used to monitor response to treatment (fig 3A, C). Although the measurement of catecholamine metabolites in the urine has been used to monitor disease in children, this reflects turnover of tumour mass. Detection of disease by RT-PCR may be a more informative measure of disease status because it identifies disseminating NBL cells that have the potential to form overt metastases. \(^1\) \(^6\) \(^9\) \(^17\) Although the presence of tumour cells in PB at the end of treatment is rare, such cells are associated with rapidly progressing disease and a high relapse rate. \(^2\) \(^2\) \(^2\) This may be useful to help determine whether children might benefit from further treatment, and might result in a redefinition of disease free. Similarly, the detection of NBL cells in PB from clinically disease free children is potentially a powerful tool to identify micrometastases before clinical relapse. Preliminary studies have shown that NBL cells can be detected in children up to 11 months before urinary catecholamine concentrations increase and clinical relapse occurs, \(^2\) \(^1\) \(^3\) \(^4\) \(^5\) potentially providing an opportunity for earlier clinical intervention that may improve outcome for some children.

“Currently, for most children diagnosed with neuroblastoma (those with high risk disease), detection of micrometastases will probably have its biggest impact as a tool to monitor disease course and response to treatment.”

The superior response of children with stage 4 NBL to high dose chemotherapy has stimulated greater use of autologous BM or stem cell transplantation, to improve tolerance to the intensive treatment. Although these more intensive treatments have improved response rates, in many children this does not result in an increased overall survival. This may be explained by drug resistant disease or poor immune response.
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Take home messages

- Recurrence and metastasis are still common in neuroblastoma and need to be monitored to predict outcome and help in treatment decisions.
- Accurate methods to detect micrometastatic disease, such as reverse transcriptase polymerase chain reaction (RT-PCR), RT real time PCR, and genetic profiling, have been developed.
- However, the clinical relevance and usefulness of detecting micrometastatic disease are still unclear, emphasizing the need for multicentre prospective clinical outcome studies.

Alternatively, the correlation between tumour cell detection in PBSCs and poor post-transplant clinical outcome supports a role for reinfused tumour cells in relapse. Although there is no evidence that cytokines, such as granulocyte colony stimulating factor, used to mobilise stem cells during the preparation of PBSCs increase tumour cell contamination, there is substantial risk of tumour cell contamination in PBSC harvests, when analysed by RT-PCR or IC. The detection of tumour cells in PBSC harvests might equally reflect the advanced disease status of these children—tumour cells might be preferentially detected in PBSC harvests from those children with more aggressive disease who are more likely to relapse, although the presence of gene marked reinfused NBL cells at sites of disease relapse strongly supports a role for these reinfused NBL cells in the development of clinical relapse. The role of such children may ultimately result in a need to reduce tumour burden in harvested peripheral blood or bone marrow stem cells before infusion. This might be achieved by purging to remove tumour cells (negative selection), enriching grafts for stem cell progenitors (positive selection), or the collection of stem cells at a time when they can be shown to be tumour free.

Although the capacity to detect NBL micrometastases does not inevitably translate into improved outcome for children with the disease, the ability to detect circulating tumour cells accurately may allow the evaluation of new strategies targeting this disease. Consequently, as new treatments evolve, so molecular information may be useful in monitoring their impact. For example, in the current European Société Internationale d’Oncologie Pédiatrique high risk neuroblastoma study, in the final randomisation children are treated for minimal residual disease using 13 cis-retinoic acid, alone and in combination with anti-GD2 antibody. By analysing PB and BM taken before and after this treatment for NBL cells, it will be possible to evaluate and compare the relative efficacy of these two treatments. A second crucial aim of this prospective international study is to evaluate the clinical relevance of NBL cells detected using RT-PCR or IC.

The detection of clinically relevant NBL micrometastases may provide improved risk information for children with low stage NBL at diagnosis, and throughout the disease course provide a method to monitor metastatic disease status in all children. This will probably result in a redefinition of what constitutes disease free and relapse. However, despite the literature on micrometastatic disease in NBL, the small numbers of children studied thus far and inconsistent reporting emphasise the need for large, multicentre, quality controlled, prospective clinical outcome studies. Such studies will provide information on the clinical usefulness and best clinical practice to maximise the benefit children with NBL may obtain from more sensitive methods for the detection of disease.

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