Mucosal immunology of vaccines against pathogenic nasopharyngeal bacteria

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The introduction of *Haemophilus influenzae* type b conjugate vaccines during the 1990s was followed by dramatic decreases both in the incidence of *Haemophilus influenzae* type b related invasive disease and in nasopharyngeal carriage of the organism. The extent of this effect has been influenced by the fact that *Haemophilus influenzae* type b conjugate vaccines reduce nasopharyngeal carriage and induce herd immunity. Based on the success of *Haemophilus influenzae* type b conjugate vaccines, chemical conjugation has been applied to the development of pneumococcal and meningococcal polysaccharide conjugate vaccines. Evidence has begun to accumulate that these new polysaccharide based conjugate vaccines can also reduce nasopharyngeal carriage and can induce immune responses at the local mucosal level, which may be responsible for these effects. This article reviews recent studies on mucosal immune responses induced by polysaccharide based vaccines and some protein vaccine antigens against several pathogenic nasopharyngeal bacteria, and discusses the mechanisms and functions of these immune responses that may help our understanding of mucosal immune responses to both immunisation and infection.

Every year, millions of people die of infectious diseases worldwide, most of which are caused by pathogens invading the host via mucosal surfaces, including the respiratory tract. Several new mucosal vaccines against respiratory infections are under development. Live attenuated mucosal influenza vaccine has been licensed in the USA, but it will probably be some time before others go into general use. Recent studies show that parenterally administered capsular polysaccharide (PS) based vaccines can induce mucosal immune responses. These immune responses may be important both in the prevention of invasive diseases and in the reduction of upper respiratory carriage of pathogens.

*Haemophilus influenzae* type b, *Streptococcus pneumoniae*, and *Neisseria meningitidis* colonise the mucosa of the human upper respiratory tract along with other opportunistic pathogens and commensal bacteria. The nasopharynx is presumed to be the main site of invasion into the bloodstream. The transmission of these bacteria primarily between asymptomatic carriers is through droplet spread or contact with respiratory secretions. To be effective against colonisation, vaccines must induce local immune responses, which promote elimination of the pathogen, break the chain of transmission, and induce herd immunity.

It has long been recognised that serum antibodies to capsular PS of some bacteria including *H influenzae* type b, *S pneumoniae*, and *N meningitidis* are protective against invasive disease. Unconjugated PS vaccines have been available for many years and have received some use in adults. However, because they induce a T cell independent B cell response, they are poorly immunogenic in young children, and in adults only induce relatively short term protection.

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Conjugate vaccine technology, where a polysaccharide antigen is coupled chemically to a protein carrier, either by direct linkage or by indirect coupling via diamino spacer molecules, can render the PS specific immune response T cell dependent. With the help of T cell derived factors, the antigen specific B cells produce a much enhanced antibody response. Several protein carriers have been used including tetanus toxoid (TT), diphtheria toxoid, mutant diphtheria toxin (CRM197), and the outer membrane protein of *N meningitidis*. Different conjugate vaccines with different protein carriers vary in their immunogenicity. Whereas some conjugate vaccines (for example, *H influenzae* type b polysaccharyl phosphate–outer membrane protein) have been shown to be immunogenic after a single dose in infancy, other *H influenzae* type b vaccines with different protein carriers need two to three doses to have appreciable immunogenicity.

Conjugate vaccines with different carrier proteins have also been shown to induce antibody responses with varying avidity.

Conjugate vaccines can induce effective primary immune responses in young children and provide longterm protection through the induction of immunological memory.
introduction of *H. influenzae* type b conjugate vaccines in several countries in the 1980s and 1990s was followed by a rapid reduction in *H. influenzae* type b related invasive disease and nasopharyngeal carriage. After the success of *H. influenzae* type b conjugate vaccines, the same approach has been applied to the development of new conjugate vaccines against *S. pneumoniae* and *N. meningitidis*. Early results have suggested that these vaccines may be effective against mucosal carriage of the vaccine serotypes.

Despite the effectiveness of polysaccharide–protein conjugate vaccines, protection is restricted to those serotypes of bacteria covered by the vaccine serotypes, and it is possible that they may be replaced in the mucosa by other serotypes after immunisation. In the case of *N. meningitidis* group B, the polysaccharide capsule is a very poor immunogen and there are theoretical risks related to rendering it immunogenic by conjugation. For these reasons, efforts have also been made in the past few years to identify effective protein vaccine antigens that could have a broad spectrum of serotype coverage among these bacteria, especially *S. pneumoniae*, which has over 90 serotypes.

Here, we review recent studies on mucosal immune responses induced by polysaccharide based vaccines and some protein vaccine antigens against several pathogenic nasopharyngeal bacteria, and discuss the mechanisms and functions of these immune responses that might help our understanding of mucosal immune responses to both immunisation and infection.

## *HAEMOPHILUS INFLUENZAE* TYPE B VACCINES

*Haemophilus influenzae* type b is a Gram negative coccobacillus that colonises the upper respiratory tract of young children. There is no known non-human reservoir. Before the widespread use of conjugate vaccines, *H. influenzae* type b was a leading cause of serious infections, including meningitis, in children. Many children carried *H. influenzae* type b at some time during the first 5 years of life for periods varying from days to months. Although *H. influenzae* type b polysaccharide vaccines have little or no effect on carriage rates, conjugate vaccines have been shown to reduce nasopharyngeal carriage of *H. influenzae* type b. These variations are probably caused by the differences in the local mucosal immune responses induced by the two types of vaccine. The reduction in carriage rate of *H. influenzae* type b but not non-type b *H. influenzae* or *S. pneumoniae* after the introduction of *H. influenzae* type b conjugate vaccines suggests that these vaccines induce antigen specific local immune responses.

Salivary anti-*H. influenzae* type b PS IgA antibodies can be detected after immunisation with *H. influenzae* type b PS vaccines and after *H. influenzae* type b infections, although they decline over time. IgG is rarely detected in these conditions. Kauppi *et al.* measured IgA and IgG antibodies to *H. influenzae* type b polysaccharide in the saliva of 7 to 19 month old children after immunisation with two or three doses of *H. influenzae* type b conjugate vaccines and showed that salivary antibodies were produced after two doses and increased further in concentration after three doses. Both the presence and concentrations of specific salivary IgG were significantly correlated with serum IgG, findings which suggest that salivary anti-*H. influenzae* type b IgG antibodies are derived from serum. PS specific salivary IgA correlated with salivary secretory component concentrations, but not with serum anti-*H. influenzae* type b PS IgA concentrations, suggesting that the PS specific salivary IgA was locally produced in secretory form.

It is probable that these mucosal anti-*H. influenzae* type b PS antibodies play an important role in the reduction, eradication, or prevention of nasopharyngeal carriage, although the relative contributions of local IgA and IgG are yet to be defined. In an infant rat model, intranasally administered anti-*H. influenzae* type b PS monoclonal antibodies and post-immunisation serum or milk samples enriched with either *H. influenzae* type b PS specific IgG or secretory IgA significantly reduced nasopharyngeal colonisation with *H. influenzae* type b, suggesting that both IgG and secretory IgA can be protective against carriage. A murine anti-*H. influenzae* type b PS monoclonal antibody has also been shown to inhibit the adherence of encapsulated *H. influenzae* type b to human oropharyngeal epithelial cells in an in vitro system, and also to inhibit the growth of *H. influenzae* type b. The effects seemed to be anti-PS antibody specific because antibodies to *H. influenzae* type b outer membrane proteins and lipopolysaccharide did not inhibit colonisation in this model. The mechanisms by which these antibodies inhibit *H. influenzae* type b adherence are still unclear. Because capsular PS is not known to be a bacterial adhesin, the antibody mediated inhibition of bacterial adherence may be through steric interference with the adherence of *H. influenzae* type b to epithelial cells. It is known that binding of antibodies to capsular PS results in the formation of a gel structure around the bacteria, a process known as the Quellung reaction. It is not known whether the concentration of complement at the mucosal surface in humans is high enough for complement mediated bacterial lysis or opsonophagocytosis by local macrophages and/or polymorphonuclear cells. However, it has been shown that during colonisation with *H. influenzae*, the local distribution of complement, IgG, and leucocytes within the mucosa is more prominent. Because parenteral PS–protein conjugate vaccines against *H. influenzae* type b have had such comprehensive success, there has been no incentive to investigate alternative vaccine antigens or routes of administration.

## *STREPTOCOCCUS PNEUMONIAE* VACCINES

*Streptococcus pneumoniae* is a ubiquitous Gram positive commensal and pathogen in humans, which causes disease predominantly in children and the elderly. Asymptomatic nasopharyngeal carriage of pneumococci is common in infants and young children and is related both to disease and the spread of the pathogen. Secretory IgA antibodies to pneumococcal capsular PS can be detected in mucosal secretions in acute and convalescent nasopharyngeal aspirates from patients with otitis media, and emerge in association with previous colonisation with *S. pneumoniae*. Although mucosal IgG and IgM antibodies are rarely detected in these patients, the local secretory IgA response may develop as early as 6 months of age, which is earlier than serum responses are usually detectable, suggesting that mucosal immunity to bacterial polysaccharides after carriage can develop in the absence of systemic immunity. However, it is not known whether the mucosal IgA antibodies that develop after colonisation with pneumococcus can prevent subsequent carriage. Although studies examining whether pneumococcal polysaccharide vaccines can prevent otitis media produced unimpressive results, recent efficacy trials indicate that conjugate pneumococcal vaccines may prevent some otitis media caused by pneumococcus, suggesting that effective local mucosal immunity in the middle ear can be induced by such vaccines. However, one recent study of a combined conjugate and polysaccharide regimen showed no protection in children with recurrent otitis media.

Vaccination with pneumococcal PS did not alter carriage rates in healthy children in one study. However, pneumococcal conjugate vaccines have been shown not only to be immunogenic and protective against invasive disease in children, but also to reduce nasopharyngeal carriage rates of vaccine serotypes of pneumococci for at least 12–18
months. In one study, the siblings of vaccinated children attending day care centres had reduced carriage rates of vaccine serotypes, in addition to reduced rates of upper respiratory tract infections when compared with the siblings of unvaccinated children (R Dagan et al. Immunization of toddlers attending day care centers (DCCs) with a 9-valent pneumococcal vaccine (PncCRM9) reduces transmission of Streptococcus pneumoniae and antibiotic resistant S pneumoniae to their younger siblings [abstract]. 40th Interscience Conference of Antimicrobial Agents and Chemotherapy, Toronto, Canada 2000), suggesting that the reduction of carriage in vaccinated children can lead to interruption of transmission and thus herd immunity, as recently shown in the USA. Although the reduction of carriage of vaccine types may be accompanied by a rise in the incidence of carriage and mucosal disease (otitis media) with non-vaccine serotypes, conjugate vaccination may nevertheless result in a reduction of the serotypes most commonly associated with invasive disease, because most invasive strains are among the serotypes included in the conjugate vaccines. The conjugate vaccine serotypes are also those most frequently found to be antibiotic resistant, so the vaccine can be expected to impact upon resistance.

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As with H influenzae type b, the effects of pneumococcal conjugate vaccine on carriage are presumably the result of specific mucosal immune responses. Antcapsular IgA and IgG antibodies in saliva have been detected after immunisation with pneumococcal conjugate vaccines. Although mucosal antibody responses after a priming course of three vaccine doses in infancy are often not demonstrable, they are more consistently present at 13 or 14 months after either a polysaccharide or a conjugate vaccine booster. Significantly higher salivary IgA antibody concentrations after such booster immunisations than after primary courses provide some evidence of the induction of mucosal immunological memory, although, particularly where conjugate boosters were used, this change may be the result of immunological maturation during the 1st year of life. The similar pattern seen for IgG responses in saliva presumably reflects systemic immunological memory as manifested in serum. It is still unclear how long these antibodies last, to what extent the vaccination can prime for mucosal memory-type responses upon nasal exposure to pneumococcus, and whether these mucosal antibodies can reduce nasopharyngeal carriage. Recent data from our laboratory show that two to three years after infant vaccination with a seven valent pneumococcal conjugate vaccine, salivary IgG antibodies to most serotypes were detectable more frequently than in unvaccinated controls of similar age. This suggests that the conjugate vaccine can induce long lasting salivary IgG responses, although the degree to which re-exposure to nasal carriage is required to maintain these differences is not yet clear. In contrast, detection rates for salivary IgA antibodies to most serotypes were comparable in immunised children and unimmunised controls. Thus, children at 2–4 years of age, and younger children, can mount serotype specific mucosal antcapsular IgA responses in the absence of vaccination, presumably in response to nasal exposure to colonising organisms. Perhaps such mucosal IgA responses develop with age and exposure, and may be an important defence mechanism in both immunised and unimmunised individuals. Despite the effectiveness of pneumococcal conjugate vaccines in preventing pneumococcal invasive diseases and the promising results in reducing nasopharyngeal carriage of vaccine serotypes, the high cost, limited serotype coverage, and associated increases in carriage rates of non-vaccine serotypes have promoted efforts to find effective pneumococcal protein vaccines that might protect against multiple serotypes. Several novel pneumococcal proteins are currently under investigation, including choline binding protein A, pneumococcal surface protein A, pneumolysin, and pneumococcal surface adhesin A. Studies in mice have shown that immunisation with choline binding protein A, pneumococcal surface adhesin A, or both can prevent nasopharyngeal carriage, suggesting that they may be vaccine candidates against pneumococcal carriage. Serum and salivary antibodies to some of the proteins have been detected in healthy children and patients with otitis media. We have demonstrated the presence of antibody secreting cells to these proteins in adenoidal B cells from children and have shown that these cells can be stimulated to proliferate by the same proteins in vitro. Although there are limited data on whether these antigen specific antibodies are protective, a recent study in an experimental model of human colonisation of pneumococcus in healthy adults has suggested that a 22 kDa protein fragment of pneumococcal surface protein A may induce both systemic IgG and mucosal secretory IgA responses, which may prevent pneumococcal carriage in humans.

**NEISSERIA MENINGITIDIS VACCINES**

Neisseria meningitidis is an important cause of meningitis and septicaemia in children. Human nasopharyngeal mucosa is the natural reservoir of N meningitidis, and the organism is transferred from person to person through direct contact or via respiratory secretions. The carriage of N meningitidis in the nasopharynx in otherwise healthy humans has long been recognised. The close relation between the carriage rate in a population and the onset of an epidemic suggests that the treatment of carriage could influence the incidence of disease. Three serogroups (A, B, and C) of N meningitidis account for most meningococcal disease worldwide. In the UK, although serogroup B is the most prevalent cause of invasive disease, the increase in group C cases during the 1990s caused great public concern, which led to the national vaccination programme for children starting in 1999 using the newly licensed meningococcal serogroup C (MenC) conjugate vaccines. These conjugate vaccines are immunogenic in children and induce primary and memory-type responses in serum. Serogroup C meningococcal disease is now in steep decline in the UK, and there was a significant fall in the carriage rate of group C meningococcus in 14 to 17 year olds a year after immunisation, suggesting that effective local immunity was induced. Meningococcal PS based vaccines induce the production of mucosal IgA and IgG antibodies in young adults and adolescents. Compared with a meningococcal A and C PS vaccine, a conjugate MenC–CRM197 vaccine induced significantly higher salivary IgG responses than a MenC PS vaccine containing a five times larger dose of capsular antigen, although there were no significant differences between salivary IgA responses to the two vaccines. In young adults, both MenC PS and conjugate vaccines induce significant production of mucosal antibodies in saliva, although salivary anti-MenA and anti-MenC PS antibodies were detected in many subjects before immunisation, perhaps as a result of previous exposure or colonisation with these or antigenically related bacteria. In infants, mucosal
IGG antibodies were seen after primary immunisation with MenC conjugate vaccines, but salivary IgA responses after three doses are generally poorer and vary between different vaccines.64 88 89 The commonly observed IgG antibodies are thought mainly to be passively derived (adenoids), where they encounter environmental antigens (nasopharyngeal area (palatine tonsils and the nasopharyngeal tonsils situated in the gastrointestinal tract and the nasopharyngeal lymphoreticular tissues, which are anatomically between the two subclasses.85–87

We have recently found that good serum primary and booster responses after one and two dose priming courses of MenC–tetanus conjugate vaccine77 and a single priming dose may also induce better mucosal responses than two or three doses.79 Because mucosal anti-PS antibodies tend to decline rapidly to near prevaccination values after six to 12 months, the protection provided by these antibodies may be short term unless mucosal immunological memory is induced. However, this remains controversial at least for mucosal IgA; IgG memory is demonstrable at the mucosal level, presumably a reflection of the anamnestic serum IgG response. Nurkka et al failed to demonstrate mucosal IgA memory in saliva to group A and C PS in 4–5 year old Gambian children who had received MenA/C conjugate vaccine in infancy.79 However, results from our recent study on infants primed with a MenC conjugate vaccine (with TT carrier) and boosted with a low dose of MenC PS at 13 months show a memory type of response both for mucosal IgA and IgG.78 The induction of mucosal memory responses may vary with the nature of the antigens, different vaccine dose regimens, schedules, age, and route of immunisation, and perhaps also previous exposure to pathogens.74

MECHANISMS OF MUCOSAL IMMUNITY INDUCED BY PARENTERAL VACCINATION

Both PS IgA1 and IgA2 subclasses are detectable after vaccination.80 The IgA2 subclass of IgA antibodies may provide some functional advantage in specific mucosal immune responses over IgA1 as a result of structural differences that make IgA2 relatively resistant to IgA1 protease activity.81 82 Haemophilus influenzae type b, S pneumoniae, and N meningitidis can produce IgA1 protease, which can cleave IgA1 to Fab and Fc fragments, and can therefore eliminate the Fc mediated functions of IgA1.83–85 although human secretory IgA has been shown to be resistant to this protease activity.86 It has been suggested that in mucosal secretions, IgA antibodies against protein antigens are predominantly IgA1, whereas those directed against polysaccharides are almost equally distributed between the two subclasses.86–88

Concentrations of specific IgG in saliva and serum in individuals are usually correlated.89 For this reason, salivary IgG antibodies are thought mainly to be passively derived from serum, although IgG antibodies may also be produced locally at the mucosal level.90 91 The commonly observed close correlation between an individual’s concentrations of antigen specific secretory component (secretory IgA) and specific IgA antibodies in saliva suggests that these mucosal IgA antibodies are secretory IgA and that their release is locally regulated.92 93

Mucosal immune systems may be anatomically and functionally divided into two separate but interconnected compartments, namely: mucosal inductive sites and effector sites.94 95 Inductive sites include the gut associated and nasal associated lymphoreticular tissues, which are anatomically situated in the gastrointestinal tract and the nasopharyngeal area (palatine tonsils and the nasopharyngeal tonsils (adenoids)), where they encounter environmental antigens and become activated. Sensitised T and B cells migrate to effector sites such as the lamina propria of the gastrointestinal tract and upper respiratory tract and to secretory glands for subsequent antigen specific antibody responses.

The inductive and effector sites of conjugate PS vaccine induced mucosal immune responses are unknown. In the human upper respiratory tract, the palatine tonsils and the nasopharyngeal tonsils (adenoids) are the largest component of Waldeyer’s ring, and are thought to be functionally related to nasal associated lymphoreticular tissue of rodents and other species.96 Adenoids and tonsils may play a role in the upper respiratory tract both as inductive sites and effector sites for both IgG and IgA antibody responses.97 Combined adenoidectomy and tonsillectomy have been reported to reduce IgA values to poliovirus in nasopharyngeal secretions and to delay or abrogate mucosal immune responses to subsequent polio vaccination,98 suggesting that these mucosal lymphoid tissues are important mucosal immune inductive and/or effector sites in the upper respiratory tract. However, these lymphoreticular tissues have unique features. B cells, in contrast to those in other mucosal compartments, are predominantly IgG rather than IgA secreting cells.99 100 Human adenoids and tonsils contain more IgG secreting cells than do the lamina propria and Peyer’s patches of the gastrointestinal tract, where most B cells secrete IgA.101 The localisation of IgA and large number of IgA secreting cells in the epithelium suggests that these tonsils have characteristics of effector sites and that IgG could be an important component of mucosal immune defence in the upper respiratory tract.98 High IgG titres in both saliva and serum after MenC conjugate vaccination75 suggests that humans adenoids and tonsils may be involved in the immune responses induced by the conjugate vaccine.

It is unclear how intramuscularly injected vaccine antigens induce upper respiratory mucosal responses. It is possible that the free vaccine antigen (with the protein carrier) is transported to and induces antigen specific antibody secreting cells in the lymphoid tissue (such as adenoids and tonsils) local to the upper respiratory tract, because injected H influenzae type b PS antigen has been shown to be dispersed throughout the body.96 97 Another possibility is that antibody secreting B cells produced in the peripheral draining node near the injection site migrate to the upper respiratory mucosa.98 99 It has been shown that antigen specific IgA secreting cells that bear the mucosal homing receptor 4BP can be detected in the circulation shortly after parenteral inoculation,100 101 indicating that non-mucosal immunisation can induce effector B cells capable of homing to mucosal tissues. It has also been shown that after immunisation with pneumococcal PS vaccines, there is a positive correlation between the number of anti-pneumococcal PS IgA antibody secreting cells in blood and the IgA antibody concentrations in saliva, suggesting that the number of IgA antibody secreting cells after parenteral immunisation may be an indicator of the secretory IgA response.102 Alternatively, or additionally, the vaccine antibody may be taken up by antigen presenting cells in the draining peripheral lymph node, which then migrate to the local lymphoid tissue (tonsils) in the upper respiratory tract and present to lymphocytes and induce antibody production there.99 102

FUTURE CONSIDERATIONS

Although conjugate PS vaccines can induce mucosal immune responses in the upper respiratory tract and have the potential to reduce carriage and to some extent to prevent acute otitis media, their mucosal effects can perhaps be improved by optimising the dosage, dose regimen, and route of immunisation. Further studies are needed to investigate the functions and mechanisms of action of anticapsular
mucosal antibodies. New protein vaccine candidates and combinations of protein and PS antigens are currently under investigation, and have been shown to reduce nasal carriage in mice. It would be wise to assess the mucosal effect of candidate antigen(s) in humans as one of the selection criteria for new vaccines.

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The development of antibacterial vaccines that can be administered intranasally is an attractive idea because it would avoid pain, the need for equipment and skilled personnel, and the risks associated with all injections of vaccine. However, it is probable that such mucosal vaccines will require effective biological adjuvants to be effective, and the search for such formulations adds further urgency to the need to understand how mucosal immunity works.

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**ECHO**

*Trichomonas vaginalis* screening goes global

A large study in Africa could help to control the HIV epidemic there, through routine screening for *Trichomonas vaginalis*, which can predispose to HIV infection. The study concluded that a latex agglutination test, by virtue of its simplicity, is a realistic prospect for screening in poor countries.

Sensitivity of the test was higher than for “wet preparation”—microscopic examination of a vaginal swab sample (98.8 v 81.5)—and equivalent to culture (98.2)—the definitive test for *T vaginalis*. Specificity, at 92.1, was satisfactory. Agreement between latex agglutination and the other tests was good (0.93 agglutination/culture; 0.88 agglutination/wet preparation). The test requires minimal equipment and training; results are available within two minutes.

Vaginal swab samples were taken by a nurse from all 206 women positive for *T vaginalis* by latex agglutination of initial samples obtained themselves and 412 selected women with negative initial samples out of 3807 consecutive women attending antenatal clinics in Ghana between September 2002 and May 2003. Wet preparation and culture were performed by experienced staff, and all results were read independently and blind.

A simple, rapid test is needed in developing countries, where *T vaginalis* infection is most prevalent. Infection increases HIV transmission, but its treatment reduces viral load in vaginal and seminal fluid. Therefore identifying and treating *T vaginalis*—whose rate of new infections is an estimated 170 million/year—should curb spread of HIV. “It is time to evolve routine screening and treatment for *T vaginalis* infection in reproductive health settings,” say the authors.

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