Multiplex immunohistochemistry/immunofluorescence (mIHC/IF) for PD-L1 testing in triple-negative breast cancer: a translational assay compared with conventional IHC

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ABSTRACT

Background Programmed death-ligand 1 (PD-L1) monoclonal antibody therapy has recently gained approval for treating metastatic triple-negative breast cancer (TNBC), in particular in the PD-L1+ patient subgroup of the recent IMpassion130 trial. The SP142 PD-L1 antibody clone was used as a predictive assay in this trial, but this clone was found to be an outlier in previous harmonisation studies in lung cancer.

Aims To address the comparability of PD-L1 clones in TNBC, we evaluated the concordance between conventional immunohistochemistry (IHC) and multiplex immunohistochemistry/immunofluorescence (mIHC/IF) that allowed simultaneous quantification of three different PD-L1 antibodies (22C3, SP142 and SP263).

Methods Our cohort comprised 25 TNBC cases, 12 non-small-cell lung carcinomas and 8 other cancers. EpCAM labelling was used to distinguish tumour cells from immune cells.

Results Moderate-to-strong correlations in PD-L1 positivity were found between results obtained through mIHC/IF and IHC. Individual concordance rates in the study ranged from 67% to 100%, with Spearman’s rank correlation coefficient values up to 0.88.

Conclusions mIHC/IF represents a promising tool in the era of cancer immunotherapy, as it can simultaneously detect and quantify PD-L1 labelling with multiple antibody clones, and allow accurate evaluation of tumour and immune cells. Clinicians and pathologists require this information to predict patient response to anti-PD-1/PD-L1 therapy. The adoption of this assay may represent a significant advance in the management of therapeutically challenging cancers. Further analysis and assay harmonisation are essential for translation to a routine diagnostic setting.

INTRODUCTION

Triple-negative breast cancer (TNBC) is characterised by a lack of cerbB2-(HER2), oestrogen and progesterone receptor expression, and has a relatively poor prognosis compared with other types of breast cancer. For this reason, novel and effective treatments are urgently required. The recent phase III IMpassion130 trial demonstrated progression-free survival (PFS) in patients with TNBC treated with upfront atezolizumab–nab-paclitaxel treatment, compared with placebo-nab-paclitaxel treatment. As a result, The United States Food and Drug Administration (FDA) granted accelerated approval for atezolizumab in combination with nab-paclitaxel in programmed death-ligand 1 (PD-L1)+, non-resectable TNBC. However, the IMpassion130 trial conducted immunohistochemistry (IHC) with the SP142 PD-L1 antibody clone to identify PD-L1+ patients, as a companion diagnostic kit. This particular clone was found to be an outlier in previous IHC harmonisation studies performed in lung cancer. Compared with SP263, SP142 and other clones. Schats et al reported that the SP142 clone uniquely binds to PD-L1 isoform 2, which lacks AA 19–132. Whether breast cancer cells express this particular isoform remains unclear. However, in contrast to other clones, SP142 tends to recognise PD-L1 expression on immune cells; other clones predominantly label PD-L1 on tumour cells. Furthermore, PD-L1 expression on immune cells, not tumour cells, predicts outcome in atezolizumab-treated TNBC, but accurate scoring of PD-L1 expression in immune cells remains challenging for pathologists. Previous studies have shown that pathologists are typically unable to report the immune count (IC) accurately or reproducibly, with an interclass correlation coefficient between 0.19 and 0.29.

In addition, the staining protocol for the SP142 clone differs from that used for other clones in terms of both methodology and equipment. At present, three IHC-based companion diagnostic assays have been approved for PD-L1 monoclonal antibody therapies, which use separate staining platforms and scoring systems to predict patient response to anti-PD-1/PD-L1 immunotherapy. The 22C3 clone is detected using the Dako Autostainer Link 48 platform (Dako; Agilent Technologies, Santa Clara, California, USA), whereas the SP263 and SP142 clones are detected with the Ventana BenchMark ULTRA platform (Ventana Medical Systems; Roche Diagnostics, Basel, Switzerland). However, all these
assays are limited by interobserver and intraobserver variability. Even relatively well-studied cancers, such as non-small-cell lung cancer (NSCLC), are subject to this limitation during diagnostics. With PD-L1 scoring of immune cells being specifically required for TNBC, this may be more challenging than in other cancers such as NSCLC and melanoma, where PD-L1 expression on tumour cells can be used instead. As reported by Cooper et al., pathologists are typically consistent when scoring tumour cells with a 1% cut-off, although more training may be required for a 50% cut-off. When scoring immune cells, however, training did little to solve the discrepancy between SP142 scoring and that of other clones. For instance, Rimm et al. recently conducted a reanalysis of the National Comprehensive Cancer Network PD-L1 companion diagnostic assay study for lung cancer using the same scoring method as the IMpassion130 trial, and reported that there was still poor agreement between assays and pathologists when scoring immune cell PD-L1 expression. These findings are subsequently supported by the post hoc analysis of IMpassion130 trial tissue by using PD-L1 clone 22C3 as well as SP263. It is clear that novel techniques with greater accuracy and reproducibility are necessary to identify patients who might benefit from immunotherapy, especially in the context of TNBC.

Multiplex immunohistochemistry/immunofluorescence (mIHC/IF) is a relatively new technique that allows simultaneous antibody-based detection and quantification of the expression of up to six protein markers, plus a nuclear counterstain, on a single tissue section. In a recent study, Lu et al. demonstrated that mIHC/IF seems to be associated with improved performance in predicting response to programmed cell death-ligand 1 (PD-L1)/programmed cell death receptor 1 (PD-1) treatment in different solid tumour types when compared with PD-L1 immunohistochemistry (IHC), tumour mutational burden or gene expression profiling alone. This was shown through the meta-analysis of studies involving tumour specimen assays of over 10 different solid tumour types in 8135 patients and the results were correlated with anti-PD-1/PD-L1 immunotherapy response. It is an unmet clinical need to bring such a technique forward for translation to a routine diagnostic assay for cancer immunotherapy.

This technique provides the opportunity to acquire gold-standard diagnostic and prognostic information. The use of a single section saves tissue when this is scant and allows the standardisation of multiple assays. In TNBC, which may be histologically heterogeneous, standardisation is of particular importance. mIHC/IF may also enable pathologists to refine the diagnostic criteria used in pathology and to assess the predictive value of biomarkers in specific cell types present in the tumour microenvironment. mIHC/IF has previously been used to identify quantitative and spatial immune parameters in tumours and is both reliable and reproducible when detecting tumour biomarker co-expression. Furthermore, Lim et al. have reported a fully automated staining protocol for mIHC/IF that uses a widely available and accessible clinical diagnostic autostainer, the Leica Bond Max (Leica Biosystems, Wetzlar, Germany). This discovery was of technical significance to the field, demonstrating that a routinely-used diagnostic autostainer could standardise the production of high-quality, seven-colour mIHC/IF slides that can translate to the clinical setting.

In the present study, we used an optimised automated mIHC/IF staining protocol to develop an assay that simultaneously labelled PD-L1 with three commercially available antibody clones (SP142, SP263 and 22C3), as well as epithelial cell adhesion molecule (EpCAM). The latter molecule assists in distinguishing tumour cells from immune cells. This was followed by a digital pathological evaluation to report the combined positive score (CPS), tumour proportion score (TPS) and IC on a single tissue section. We analysed a total of 45 samples, and compared the automated scoring results to standard, manual PD-L1 scoring by pathologists using conventional IHC staining. We identified a moderate-to-strong correlation between the two techniques, suggesting a potential clinical application for mIHC/IF in the era of cancer immunotherapy.

### MATERIALS AND METHODS

#### Patients and tumours

The analysis was conducted on 45 archival formalin-fixed, paraffin-embedded (FFPE) specimens from a mixed cohort encompassing 25 patients with TNBC, 12 non-small-cell lung carcinomas and other cancers such as 1 gastric adenocarcinoma, 1 oesophageal adenocarcinoma, 1 parotid adenocarcinoma, 1 colon adenocarcinoma, 3 lung small cell carcinomas and 1 urothelial carcinoma. All cases were diagnosed at the Department of Anatomical Pathology, Division of Pathology, Singapore General Hospital. The Centralised Institutional Review Board of SingHealth provided ethical approval for the use of patient materials in this study (CIRB ref: 2013/664/F, 2015/2199 and 2011/441/B).

#### IHC protocol

FFPE tissue sections (4µm thick) were labelled with three different anti-PD-L1 clones (SP142, SP263 and 22C3; see table 1 for details). The antibody clones were scored separately (0% to 100%), based on CPS, TPS and IC, as previously described. CPS is defined as the percentage of total PD-L1+ cells (tumour cells and immune cells) divided by the total number of tumour cells. TPS is defined as the percentage of tumour cells with membranous PD-L1 expression. IC is defined as the proportion of tumour area occupied by PD-L1+ tumour-infiltrating immune cells. Consecutive tissue sections from patients were labelled to allow the quantification of CPS, TPS and IC.

To generate the scores, images of labelled slides were captured using an Intellisite Ultra-Fast Scanner (Philips Research, Eindhoven, the Netherlands) prior to examination by pathologists (PHT, YCT, SS and JY). All pathologists were blinded to both clinicopathological information and the PD-L1 scoring obtained using mIHC/IF. Scoring was performed independently. Where discordant, the cases were reviewed and a consensus score was given.

#### mIHC/IF protocol

mIHC/IF was performed using an Opal Multiplex fIHC kit (PerkinElmer Inc, Waltham, Massachusetts, USA), as previously described by our group and in other studies. Slides were labelled with three different anti-PD-L1 clones (SP142, SP263, 22C3) and EpCAM, followed by appropriate secondary antibodies (see table 1 for details). Particularly for this panel,
we followed the detailed protocol that our group previously reported as protocol manuscript33 and hereby briefly described.

FFPE tissue sections were cut onto Bond Plus slides (Leica Biosystems Richmond) and heated at 60°C for 20 min.34 Tissue slides were then subjected to deparaffinisation, rehydration and heat-induced epitope retrieval (HIER) using a Leica Bond Max autostainer (Leica Biosystems Melbourne), prior to endogenous peroxidase blocking (Leica Biosystems Newcastle). The slides were incubated with a single clone of PD-L1 or EpCAM primary antibody followed by the application of polymeric Horseradish peroxidase (HRP)-conjugated secondary antibodies (Leica Biosystems Newcastle). An appropriate Opal fluorophore-conjugated TSA (PerkinElmer, USA) was then added at 1:100 dilution. The slides were rinsed with washing buffer after each step. Following TSA deposition, the slides were again subjected to HIER to strip the tissue-bound primary/secondary antibody complexes and ready for labelling of the next marker. These steps were repeated until all four markers were labelled and finally added with spectral 4′,6-diamidino-2-phenylindole (DAPI) (PerkinElmer, USA) at 1:10 dilution. The slides were mounted in ProLong Diamond Anti-fade Mountant (Molecular Probes, Life Technologies, USA) and cured in the dark at room temperature for 24 hours. Ten images (viable tumour regions were selected randomly by pathologists) were acquired for each case using a Vectra 3 pathology imaging system microscope (PerkinElmer Inc) and analysed using inForm software (V2.4.2; PerkinElmer Inc).33

As with IHC, consecutive tissue sections from tumours were labelled to allow the quantification of CPS, TPS and IC. The CPS, TPS and IC of individual slides were separately scored during mIHC/IF using the EpCAM-labelled tumour as a reference. This allowed the accurate quantitation of tumour cell counts.

### Statistical analysis

Statistical analysis was performed using GraphPad Prism 8.0.0 for Windows (GraphPad Software Inc, San Diegolo, California, USA). Correlations between IHC and mIHC/IF scoring were analysed using Spearman’s rank correlations. Krippendorff’s α is a statistical measurement of agreement among observers, with the value of α ranging from 0 to 1, with 0 representing total disagreement and 1 total agreement.36 Values of >0.8 indicate good reliability, whereas values in between can be interpreted tentatively, but still eliciting acceptable conclusions.37 A p value <0.05 was considered to indicate a statistically significant difference.

### RESULTS

As shown by the representative images presented in figure 1, the mIHC/IF-based assay that used in the present study has been optimised and detailedly described in the Materials and Methods section such that it can simultaneously label PD-L1 with three commercially available antibody clones (SP142, SP263 and 22C3) and EpCAM, distinguishing tumour cells from immune cells, and digital pathological reporting of CPS, TPS and IC can be conducted on a single tissue section. The whole slide images of figure 1 are shown in the online supplementary figure 1. The scores between mIHC/IF and conventional IHC of this particular case are provided in the online supplementary table 1.

mIHC/IF and conventional IHC scoring for each PD-L1 clone for the mixed cohort (n=25) were compared using Spearman’s rank correlations. As shown in table 2, when using a cut-off of 1% for PD-L1 positivity, SP263 CPS achieved the highest concordance rate (100%), whereas SP142 TPS achieved the highest R coefficient (0.77). In terms of concordance rate, SP263 IC was the second highest at 95%, whereas in terms of R coefficient, SP263 TPS achieved second place (0.73).

To investigate whether similar concordance rates could be achieved on pure TNBC samples, we further evaluated the comparison with a cohort (n=25) that included only TNBC cases. As shown in table 3, with a cut-off of 1% for PD-L1 positivity, SP263 CPS and SP142 IC displayed the highest concordance rates (100% and 96%, respectively). SP263 CPS showed the highest concordance in terms of R coefficient (0.88), followed by SP142 TPS, which achieved 0.78.

Finally, we used Krippendorff’s α36 37 to investigate the concordance among the three PD-L1 antibody clones. As shown in table 4, regardless of whether IHC or mIHC/IF was used, the Krippendorff’s α value ranged from 0.527 to 0.695. This suggested a moderate reliability. The highest reliability was achieved on TPS IHC score when the 1% cut-off was applied (among 3 PD-L1 clones) followed by CPS IHC score with 1% cut-off (among 3 PD-L1 clones). For mIHC/IF, CPS achieved the highest Krippendorff’s α (0.551) followed by IC (Krippendorff’s α=0.546).

### DISCUSSION

In light of the recent IMpassion130 TNBC trial, which demonstrated significantly improved outcomes in patients with TNBC treated with atezolizumab, and the resultant FDA approval of

Figure 1 Representative images of TNBC tissue sections labelled with mIHC/IF. (A–D) Five-colour, multiplex immunofluorescent images of human TNBC tissue sections demonstrating the spatial distribution of different immune lineages and markers within the stroma and tumour regions. The technique labels five channels as follows: PD-L1 SP142 clone (green), PD-L1 22C3 clone (red), PD-L1 SP263 clone (white), EpCAM (orange) and DAPI (blue). (E) conventional IHC staining for the PD-L1 SP142 clone. (F) H&E staining (magnification, ×200). EpCAM, epithelial cell adhesion molecule; mIHC/IF, multiplex immunohistochemistry/immunofluorescence; PD-L1, programmed death-ligand 1; TNBC, triple-negative breast cancer.
this treatment for non-resectable, advanced PD-L1+ TNBC, we developed a mHCl/IF-based assay to simultaneously evaluate the efficacy of 3 PD-L1 antibody clones in TNBC. We demonstrated good concordance with the results of manual scoring performed by pathologists following conventional IHC.

Previous studies have demonstrated that commercially available PD-L1 clones have different concordance rates in different tumour types. For example, Rimm et al14 reported that SP142 identified only 50% of patients with TNBC identified by 28–8, 22C3 and E1L3N. This lower rate of detection by SP142 was consistent with that in other studies involving NSCLC.3–6 8–10 Moreover, SP142 detected fewer PD-L1+ immune cells compared with 28–8 and E1L3N, which was consistent with the results of the Blueprint studies.3 4 6 A recent multicentre study evaluated 22C3, 28–8 and SP263 in NSCLC and found that these clones may be interchangeable when scoring tumour cells, but not immune cells; again in concordance with the results of the Blueprint studies among others.3 6 8–10 However, in melanoma, Schats et al13 demonstrated that SP142 successfully labelled PD-L1+ immune cells.13 It is clear that these discrepancies warrant further investigation, particularly in more heterogeneous cancers such as TNBC.

Sun et al15 previously evaluated PD-L1 detection in TNBC using the E1L3N, 28–8 and SP142 antibody clones and found that SP142 detected fewer tumour and immune cells and had a relatively low concordance rate with the other clones.26 Another study conducted by Karnik et al16 revealed that the performance of the SP263, 22C3 and RhM CAL10 clones was comparable, but SP142 was not studied. This apparent discordance across PD-L1 clones may lead to potential missed opportunities for patients to receive appropriate anti-PD-1/PD-L1 therapy. For instance, one TNBC case that scored 0% for clone 22C3 could have received a score of 10% with SP142, suggesting that this patient might benefit from atezolizumab treatment.

Further complicating the situation in TNBC is the fact that PD-L1+ immune cells rather than tumour cells are of predictive value.12 This is of particular relevance for atezolizumab therapy, not only suggested that there are differences between the assays chosen to assess PD-L1 status, but also demonstrated that double-positive assay results (SP142+/22C3+ or SP142+/SP263+) were associated with superior PFS and overall survival compared with single-positive or double-negative results.12 These further support our initiative to make full use of the limited tissue to better understand the PD-L1 scoring from each PD-L1 assay and the potential of clinical benefit.

Thus, we embarked on the present study, which used mHCl/IF as an objective, standardised staining and analytic pipeline to overcome interobserver variability. Reproducibility of such a technique has been reported by our group and others for various markers including PD-L1.25 27–44 This approach may also be applicable in other types of cancer treated with immunotherapy that also require PD-L1 scoring on immune cells specifically, such as urothelial carcinoma45 and gastric cancer.46 Herbst et al46 previously identified an array of tumour types in which PD-L1+ immune cells are of predictive value to anti-PD-L1 therapy, including renal cell carcinoma, colorectal cancer, non-small cell carcinoma, head and neck squamous cell carcinoma, gastric cancer, pancreatic cancer and melanoma. This suggests that this mHCl/IF-based PD-L1 scoring technique could have applications far beyond TNBC alone.

To the best of our knowledge, the present study is the first to provide evidence suggesting that mHCl/IF results are concordant to those obtained through traditional IHC methods, supporting the imminent translation of mHCl/IF to clinical practice. mHCl/IF is not subject to the same observer variability as manual scoring methods and is highly reproducible. mHCl/IF with EpCAM as a tumour marker allowed accurate differentiation and quantitation of immune cells from tumour cells while labelling PD-L1. Another benefit of mHCl/IF is that it can conserve patient tissue; these are often scant in diagnostics, especially when obtained through needle biopsy methods. mHCl/IF permits a single section to be stained simultaneously with multiple anti-PD-L1 clones, both saving tissue with comparable turnaround time and cost to conventional IHC on multiple sections.

### Table 2

<table>
<thead>
<tr>
<th>Statistic</th>
<th>SP142 CPS</th>
<th>SP142 TPS</th>
<th>SP142 IC</th>
<th>22C3 CPS</th>
<th>22C3 TPS</th>
<th>22C3 IC</th>
<th>SP263 CPS</th>
<th>SP263 TPS</th>
<th>SP263 IC</th>
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<td>Concordance rate</td>
<td>92.0%</td>
<td>96%</td>
<td>70%</td>
<td>68%</td>
<td>70%</td>
<td>100%</td>
<td>88%</td>
<td>95%</td>
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Data were analysed using Spearman’s rank correlations (n=25).

### Table 3

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<tr>
<th>Statistic</th>
<th>SP142 CPS</th>
<th>SP142 TPS</th>
<th>SP142 IC</th>
<th>22C3 CPS</th>
<th>22C3 TPS</th>
<th>22C3 IC</th>
<th>SP263 CPS</th>
<th>SP263 TPS</th>
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<tr>
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<td>70%</td>
<td>100%</td>
<td>88%</td>
<td>95%</td>
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</table>

Data were analysed using Spearman’s rank correlations (n=25).

CPS, combined positive score; TPS, tumour proportion score; IC, immune count; mHCl/IF, multiplex immunohistochemistry/immunofluorescence; NSCLC, non-small-cell lung carcinoma; TNBC, triple-negative breast cancer; TPS, tumour proportion score.
Furthermore, in certain cancers such as TNBC, PD-L1 testing in non-immunotherapeutic or standard-of-care settings might have additional prognostic value. High PD-L1 expression in breast cancer is generally associated with a relatively poor prognosis, based on the results of previous meta-analyses. However, in the context of TNBC specifically, high PD-L1 expression may indicate a relatively good prognosis. Immune cell PD-L1 expression has also been found to have clinical value when evaluating breast cancers, suggesting that the assessment of both tumour and ICs is warranted in clinical practice. Additional investigation is clearly warranted to fully elucidate the prognostic role of PD-L1 expression in TNBC.

One limitation of this study is that the mIHC/IF method described in this study captures regions of interest in the entire section of tissue, whereas conventional IHC and manual scoring in contrast is scored in the entire stained tissue section. As part of the clinical validation and future work, we are testing the evaluation of mIHC/IF whole slide images instead of the region of interest in which the technical portion (as research setting) is working (online supplementary figure 1). The key hurdles of implementing that as a clinical/translational platform would be the speed of analysis, turnaround time, computing power, server and storage which could pose a challenge for most clinical pathology laboratories. Last but not least, mIHC/IF remains technically an IHC-based platform that can be easily adopted into clinical diagnostic settings; the system does provide pseudo-IHC images in parallel to the fluorescent images which might help pathologists to better appreciate the images (online supplementary figure 2).

In conclusion, further analysis and harmonisation of assays are required to establish mIHC/IF PD-L1 testing as a complementary diagnostic method in TNBC or other cancers. Nevertheless, the capacity for simultaneous detection and quantitation of multiple PD-L1 clones in both the tumour and immune cell compartments is highly promising. This new tool warrants more standardised clinical validation on larger cohorts prior to widespread adoption in clinical practice. Once established, however, the information provided by mIHC/IF will be invaluable when stratifying patients who may benefit from anti-PD-1/PD-L1 immunotherapy.

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Contributors  PHT and JY: designed and directed the study; drafted the manuscript, which was commented on and revised by all authors. JT, TT and ZLC: coordinated the study. JCT, JL, AAT and CC: acquired the data. JY, SS, YCT and PHT: did conventional IHC and manual scoring. CQ, BL and JL: did analysis. JY, YCP and SY: provided advice from technical perspectives. TT, AS, EL and RD: provided advice from clinical perspectives.

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Competing interests  ZLC is a medical student from the University of Tasmania on a research elective posting with the Department of Anatomical Pathology Singapore General Hospital, supported by the Royal College of Pathologists of Australasia (RCPath) medical students’ scholarship. BL is part of the Sign Immunomonitoring platform (supported by a BMRC IAF 311006 grant and BMRC transition funds #H16/99/b0/011).

Patient consent for publication  Not required.

Ethics approval  The Centralised Institutional Review Board of Singhealth provided ethical approval for the use of patient materials in the present study (CRB ref: 2013/664/F, 2015/2199 and 2011/441/B).

Provenance and peer review  Not commissioned; externally peer reviewed.

Data availability statement  Data are available upon reasonable request. Data will be made available upon reasonable request to correspondent authors, JY and PHT.

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REFERENCES
Original research


Supplementary Table 1. Comparison of the scoring between conventional IHC staining and multiplex IHC/IF on the case shown in Figure 1 and supplementary Figure 1

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<th>22C3</th>
<th>SP142</th>
<th>SP263</th>
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<tr>
<td><strong>Conventional IHC</strong></td>
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<tr>
<td>CPS</td>
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<td>50%</td>
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<td>TPS</td>
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<tr>
<td>IC</td>
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<td>20%</td>
<td>40%</td>
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<tr>
<td><strong>Multiplex IHC/IF</strong></td>
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</tr>
<tr>
<td>CPS</td>
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<td>49%</td>
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</tr>
<tr>
<td>TPS</td>
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<td>7%</td>
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</tr>
<tr>
<td>IC</td>
<td>29%</td>
<td>42%</td>
<td>46%</td>
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* Combined Positive Score (CPS); Tumour Proportion Score (TPS); Immune Cells (IC).
Supplementary Figure 1. Representative whole slide images of multiplex IHC/IF of a triple negative breast cancer patient in the cohort. Image (A) showed PD-L1 22C3 staining, image (B) showed PD-L1 SP142 staining, image (C) showed PD-L1 SP263 staining and image (D) showed EpCAM as well as DAPI staining with the red boxes highlighted the regions of interest.

*Same tissue with higher magnification images are shown in Figure 1 and Supplementary Figure 2.
Supplementary Figure 2. Representative pseudo-IHC images of multiplex IHC/IF of a triple negative breast cancer patient in the cohort that shown in Figure 1. Image (A) showed PD-L1 22C3 staining with DAPI, image (B) showed PD-L1 SP142 staining with DAPI, image (C) showed PD-L1 SP263 staining with DAPI and image (D) showed EpCAM as well as DAPI staining. (Magnification: 400X)